Request for Applications (RFA): College of Medicine Clinician Scientist K12 Program

KEY DATES
RFA Release Date: December 11, 2018
Application Deadline: February 28, 2019
Anticipated Start Date: April 15, 2019

OVERVIEW
The SCTR Institute and MUSC College of Medicine announce a funding opportunity to faculty in the College of Medicine for an institutionally - funded K12 Mentored Career Development Program in clinical and translational science. This K12 program supports the career development of scholars holding a research or health professional doctoral degree or its equivalent. The goal of the program is to transition scholars from a mentored training program to an independent research career. The SCTR COM K12 program includes a supportive environment, start-up research funds and access to program faculty who will provide expertise and guidance in research design, evaluation, study coordination, data management, biostatistical analysis, publishing and presenting research, and grant writing.

Key Personnel
➢ Marc I. Chimowitz, MBChB – SCTR COM K12 Program Director
➢ Carol Feghali-Bostwick, PhD – SCTR COM K12 Associate Program Director
➢ Diana Lee-Chavarria – SCTR Education & Workforce Program Manager

Please contact Diana Lee-Chavarria at leeachar@musc.edu by Monday, January 14th if you plan to submit an application

SCTR COM K12 Website: https://research.musc.edu/resources/sctr/funding-opportunities/clinician-scientist-scholars

K12 BENEFITS
• Scholar Salary Support – and fringe benefits for 2 years (required effort at 40%; subject to the NIH Salary Cap). Scholar’s department/division is responsible for paying for salary costs over the cap, as well as the remaining 60% effort.
• Annual Research Budget of $5,000 to purchase research supplies and small equipment. A department/division match of $15,000 is required (combined total of $20,000).
• Individual Development Plan (IDP) guidance and mentorship with oversight by the K12 Program Directors
• Facilitated externships and experiential training opportunities with collaborating CTSAs and Industry
• Support to develop an extramural grant application (preferably R-Series) to fund research at the conclusion of the K12 funding. Appointed scholars are required to apply for individual mentored K awards (e.g., K07, K08,
K22, K23) or independent awards (R01, R03, R21) during the K12 program; if successful during the K12 program, the K12 appointment will be terminated upon funding received from the new individual K or R award.

- **Access to SCTR consultation, services and staff** including: SUCCESS Center research navigation, biostatistics, subject recruitment, REDCap data capture software, animal/human-subject regulatory consults, protocol development consults, NIH Public Access compliance (publications in PubMed Central), intellectual property consults and forms

**ELIGIBILITY**

- **VA Appointment**: Special permission must be obtained for eligible faculty with Veterans Affairs (VA) appointments. Candidates must contact SCTR prior to applying.

- **Position and Degree Requirements**: Eligible candidates are junior faculty in the College of Medicine who are committed to a career in clinical translational research. Junior faculty includes anyone at the Instructor or Assistant Professor level who are within 10 years of having completed a postdoctoral specialty or subspecialty training (based on the definition for NIH Early Stage Investigators) who meets the other eligibility requirements for prior research experience, citizenship, education/degree, and clinical certification (if required). Candidates must have:
  - A full-time faculty appointment (regardless of tenure or non-tenure track) in the College of Medicine at MUSC at the time of formal acceptance as a scholar
    - Senior fellows pending faculty appointment may apply.
  - An MD or DO degree.

- **Salary and Time Commitment**: Candidate must be able to commit 40\% of full-time professional effort in this program for the training and clinical research activities. The 40\% effort is based on the entire amount of time worked in a typical week, and should be proportionate. The remaining 60\% effort can be divided among other research, clinical and teaching activities if these activities are consistent with the proposed goals of the K12 program. Candidates must provide a justification as to the barriers of participating in the regular SCTR K12 (K12) program, which requires 75\% effort.

- **Citizenship**: Candidates must be U.S. citizens or non-citizen nationals, or have been lawfully admitted for permanent residence and possess a Permanent Resident Card (I-151 or I-551) or some other verification of legal admission as a permanent resident. Individuals on temporary or student visas are not eligible for this program.

- **Prior Research Experience**: Candidates may not simultaneously submit or have pending an application for any other PHS mentored career development award (e.g., K07, K08, K22, K23) that duplicates any of the provisions of the K component. Former or current PDs/PIs on any NIH research project grant (this does not include NIH Small Grants (R03), Exploratory/ Developmental (R21) or SBIR, STTR (R43, R44 grants)) or equivalent non-PHS peer reviewed research grants that are over $100,000 direct costs per year, or project leaders on sub-projects of program project (P01) or center grants (P50) are NOT eligible to participate as scholars.

**EXPECTATIONS**

**Candidate Department/Division Expectations (if awarded)**

- Ensure that the protected time (40\%) supported by this award is being met and used towards candidate’s research efforts, training, and scholarly pursuits.
• Contribute $15,000 to the candidate’s annual research supply budget (total research supply budget is $20,000).
• Pay any over-the-salary-cap costs as well as the remaining 60% effort.

K12 Candidate Expectations (if awarded)

Requirements:
• Ensure that the protected time (40%) supported by this award is being met and used towards candidate’s research efforts, training, and scholarly pursuits.
• Attend Joint K Orientation: Date TBD (half day required). Scholar Needs Assessment should be completed prior to orientation.
• **Work with primary mentor** and others that are part of the mentoring team to develop skills needed to develop a successful career path.
  o **Meet regularly** with a primary mentor to discuss and assess continued progress of research goals; also meet regularly with your mentoring team as a whole.
  o **Establish a mentoring contract** with all mentors.
  o **Work with mentor(s) to create an IDP.** Agree upon a set of expectations and goals. Review this with your mentor annually, at a minimum.
• **Meet quarterly with K Program Directors.** These meetings will be used to discuss the research project, training advancements, and monitor evidence of progress. Required reports are due two weeks prior to these meetings.
• Participate in **Mock Study Sessions.** Prior to grant submission candidates will participate in a mock study session coordinated by the Office of Research Development. This will be scheduled to meet with the scholar’s goals and to allow for appropriate time to address issues identified during the process.
• Attend **required courses:** 1. Responsible Conduct of Research (RCR), 2. K Scholars Grant Writing Course, 3. Clinical Biostatistics (or similar), 4. Team Science Course, and 5. Budget Management Workshop.
• Attend monthly **K to R Club meetings.** Meetings are scheduled for the 4th Tuesday of each month at 4pm in the Bioengineering Building Room 201. This is an opportunity to interact and learn from the experiences of all K Scholars on campus. Each candidate will present to this group twice a year, prior to grant submission.
• Participate in **Science Writing Seminars.** K12 scholars will meet as a group to “workshop” manuscripts, grant proposals, and other scholarly writing that they are currently working on.
• **Attendance at the SCTR Annual Mentorship Training Symposium.**
• **Submission of an abstract and attendance at the Annual Association of Clinical and Translational Science (ACTS) Meeting** in Washington, DC.
• **Submission of an abstract and attendance at the Annual MUSC Translational Research Day.**
• **Submit abstracts,** journal articles and publications yearly to maintain evidence of scholarly productivity.
  o Publications must cite grant funding and adhere to the NIH Public Access Policy. Candidates will coordinate the review of manuscripts that will be submitted for publication with their mentor(s) prior to submission. **All papers published during this appointment should cite the SCTR Institute grant number (NIH/NCATS UL1 TR001452).**
• Candidates are required to **obtain training in clinical and translational research** as a K12 Clinician Scientist, whether a MSCR is pursued or personally developed training is sought.
  o Scholars holding an MSCR or other formalized clinical and/or translational degree must include an outline for additional training in their Training Plan in the application.
• Candidates must **apply for an extramural grant** (preferably R-Series) no later than by the end of Year 2.

**Encouraged:**
- Attendance at **Tools for Mentors & Mentees** monthly workshops.
- Attendance at the **Mentor Training Course.**
Attendance at the Society of Clinical Research and Translational Early Scientist (SOCRATES) meetings. SOCRATES (combined with B&BS) meetings are held the first & third Wednesday of each month at 4:00 pm in the Bioengineering Building Room 201. These provide a forum for faculty to present their research projects in front of their peers and senior researchers. This will provide constructive feedback for improving and enhancing research studies and grant proposals.

CANDIDATE MILESTONES
Since our shared goal is for Scholars to become independently funded by the end of their K12 award period (or earlier), we set out these general guidelines for scholars to consider:

1st year:
- Complete approved plan of research and timeline
- Obtain IRB approval
- Attend required courses and present at least once at the K to R Club
- Develop one or more high quality publications that may form the basis of a grant application.

2nd year:
- Complete one or more high quality publications that may form the basis of a grant application.
- Submit proposal for review to a K Scholar Mock Study Section
- Submit an extramural grant (typically R01, K23, or other federal, state, foundation or industry grant)
- Present at least once to the K to R Club

K12 MENTOR EXPECTATIONS
- Help develop the skills needed to promote the career of the mentee. Mentor will set aside protected time to meet with mentee to accomplish this.
- Ensure that the protected time supported by the award for the K12 scholar is being met.
- Ensure that a mutually agreed upon set of expectations and goals are in place at the outset of the mentoring period and will work with the mentee to create an IDP and mentoring plan.
- Maintain a relationship with the mentee that is based on trust and mutual respect. Open communication and periodic formal performance reviews with the K12 team will help ensure that the expectations of both parties are met.
- Promote all ethical standards for conducting research including compliance with all institutional and federal regulations as they relate to responsible conduct in research, privacy and human subjects research, animal care and use, laboratory safety, and use of radioisotopes.
- Mentor will ensure that the mentee has sufficient opportunities to acquire the skills necessary to become an expert in an agreed upon area of investigation.
- Mentor will provide the mentee with the required guidance and mentoring, and will seek the assistance of other faculty and departmental/institutional resources when necessary. Mentor must provide access to formal opportunities/programs in complementary areas necessary for a successful career.
- Mentor will encourage the interaction of the mentee with fellow scientists both intra- and extramurally and encourage the mentee’s attendance at professional meetings to network and present research findings.
- Mentor will ensure that the research performed by a mentee is submitted for publication in a timely manner and that she/he receive appropriate credit for the work she/he performs. Mentor will acknowledge her/his contribution to the development of any intellectual property and will clearly define future access to tangible research materials according to institutional policy.
- Mentor will make available to the mentee, as appropriate, data from previous or ongoing projects that are related to the mentee’s area(s) of interest, and will encourage the mentee to submit manuscripts for
publication during the award period and after the award period is over. Mentor will ensure that mentee receives appropriate credit for the work she/he performs

☐ Mentor will commit to being a supportive colleague to mentees as they transition into the next stage of their career and to the extent possible, throughout their professional life.

☐ Mentor will play a fully involved role in the SCTR K12 Program by participating in quarterly SCTR K12 progress report meetings, K to R Club Meetings (required when mentee is presenting), and fulfilling the role of a reviewer for K Program Mock Study Section.

☐ Mentor training and development opportunities will be taken to enhance one’s own skills. Suggested opportunities include SCTRs Mentor Training Course and SCTR’s Annual Mentorship Training Symposium.

☐ Mentor will have read the potential scholar’s K12 application package and provided constructive feedback, both for the career/training components and the research plan.

**SELECTION CRITERIA**

Two senior researchers on campus who are familiar with the area of study, the KL2/K12 Program Directors, and the TL1 Program Directors will review each application. Each reviewer will provide a priority score using the NIH 9-point scale and a written critique based on the NIH K23 review criteria and form ([Link to template](#)).

The applications with the highest priority score will present their proposals to the KL2/K12 Executive Committee (the KL2/K12 Program Directors, SCTR PI, SCTR Associate PIs, and the TL1 Program Directors), addressing any issues raised in the written critiques. Following the presentations, the KL2/K12 Executive Committee will select the scholar.

K12 scholars will be selected based on a competitive application process in which the following primary components will be assessed:

- ✔ The track record and potential of the candidate
- ✔ Scientific merit of the proposed research.
- ✔ The transdisciplinary/translational science of the research project
- ✔ The experience of the mentorship team
- ✔ The quality of the training plan

*Individuals from underrepresented ethnic minority groups are encouraged to apply, and special consideration will be given to individuals in departments and disciplines that have traditionally been under-represented in clinical research.*

**K12 APPLICATION INSTRUCTIONS**

The MUSC SCTR COM K12 Program supports the career development of investigators who have made the commitment to a career in clinical and translational patient-oriented research. This K12 Award is available for a period of 2 years with the possibility of a 3rd year of support depending on the progress of the scholar.

Please read these instructions carefully before beginning your application. The ENTIRE application package must be successfully submitted by February 28th at 11:59 pm via the InfoReady submission portal. Applications received after this time will be executively withdrawn.

All applicants will receive reviewer comments on their applications.

INCOMPLETE AND/OR LATE APPLICATIONS WILL NOT BE ACCEPTED FOR ANY REASON!
**All candidates MUST submit a SPARC Request to get a Research Master ID before submitting an application.**

1) Go to [sparc.musc.edu](http://sparc.musc.edu)
2) Select “South Carolina Clinical and Translational Research Institute” from the menu on the left
3) Select “Funding Opportunities” from the drop-down menu
4) Select “College of Medicine K12 Program” from the opportunities listed
5) Select “Add” to submit SPARC Request
6) Click on “College of Medicine K12 Application” for a link to the application portal

**Additional Information:**

- Link to MUSC’s funded proposal library: [https://research.musc.edu/resources/ord/faculty-toolkit/proposal-library](https://research.musc.edu/resources/ord/faculty-toolkit/proposal-library)
- SCTR COM K12 website, RFA, and other information: [https://research.musc.edu/resources/sctr/funding-opportunities/clinician-scientist-scholars](https://research.musc.edu/resources/sctr/funding-opportunities/clinician-scientist-scholars)

Questions?

Contact Diana Lee-Chavarria, SCTR Education & Workforce Development Program Manager, at leeachar@musc.edu or 792-8205.

**APPLICATION DOCUMENT REQUIREMENTS**

Candidate must submit an application including: candidate’s background, career goals and objectives, proposed career development/training activities during award period, research plan, human subjects section, and detailed budget for initial 12-month budget period.

- **Format:** All application materials must be submitted online in **PDF format**.
- **Font:** Arial – no smaller than 11-point
- **Margins:** half-inch (1/2”) on all sides

The following elements must be uploaded into the InfoReady application portal as PDFs.

1) **NIH BIOSKETCHES (NIH Format)**

NIH-formatted biosketches are required for:

1) Applicant – *please list all prior funding/awards*
2) Primary Mentor – *Other Support must be included*
3) Others on the Mentor Team – *Other Support must be included*
4) Consultant (optional)

Please use the most updated format: [https://grants.nih.gov/grants/forms/biosketch.htm](https://grants.nih.gov/grants/forms/biosketch.htm)

2) **APPLICANT CV**

This should include:

- Previous institution
- Degree and year earned
- Doctoral thesis title
- Residency training institution
- Title of specific training
3) CANDIDATE’S STATEMENT (3-Page Limit)
This section should address all of the following:

• **Track Record** – *Creativity of the candidate and potential to lead excellent research judging by track record in some or all of the following: areas of expertise and prior training; publications; previous research experience and funded grants.*
  - Describe any previous training in clinical and translational research (e.g., MSCR degree, MSTP program, previous mentor program, etc.).
  - Give examples of opportunities you’ve had to engage in research (basic or clinical); describe your role in these projects.
  - Highlight examples of your productivity (e.g., pursuing an original research question, analyzing data, pursuing extramural funding, and presenting or publishing your findings).

• **Research Plan Overview** – *Scientific value, potential clinical importance, and feasibility of the written research plan*
  - State your long-term clinical and translational research career goal(s), including the type of research you plan to conduct, the clinical problem(s) your research will address, and the potential impact your research will have on human health.
  - Explain how conducting the research project proposed in this application will prepare you to compete successfully for an NIH grant, such as an R03, R21, or R01 and provide some scenarios for what that project would be.
  - **Note:** A SCTR Biostatistics Consultation is required for all applicants. Schedule your consultation via SPARC as soon as possible. This will provide you valuable statistical and study design information that you will likely want to incorporate in to your research plan.

• **Mentor Training Plan** – *Quality, appropriateness, and multidisciplinary complementarity of the proposed mentors, and plan for additional didactic and other training at MUSC or elsewhere*
  - Explain what additional training you need to achieve your research career development goals. Identify specific areas where you have deficiencies and describe how you will obtain additional training in each area.
  - Describe the roles each of your mentors will play. Be specific; cite examples from your proposed training or research plan whenever possible.

• **Resources** – *Tangible commitment and resources provided by the home department/division, and suitability of the available clinical and laboratory infrastructure and multidisciplinary team*
  - Comment on the resources (space, staff, clinical materials, funds, etc.) provided by your department and mentors, and their adequacy to your career development. Be specific as to amounts that will be available to you for doing research.

• **Career Potential** – *Global assessment of the likelihood that you will develop a career as an outstanding investigator who will lead multidisciplinary teams and have an important impact on health*
  - Explain how you will benefit from the K12 program and how it will contribute to your career in multidisciplinary clinical and translational research.
  - Comment on the leadership role you expect to play in your department in 5-10 years.

4) Research Plan (7-Page Limit: 1 page for Specific Aims; 6 pages for Background & Significance, Preliminary Studies, and Research Design & Methods)
The research plan should be described along the lines of instructions contained in PHS 398. Ideally, the research plan should be completed within two years so that an extramural grant application that includes these results can be submitted by the end of year two.
Combine the following into a single PDF to upload:

- **Specific Aims** *(1 page limit)*
- **Background & Significance** *(combined 6-page limit)*
- **Preliminary Studies** *
- **Research Designs and Methods** * – should include a summary of your future research plan
- **PHS Human Subjects and Clinical Trials Information** *(not included in page limit)*
- **Literature Cited** *(not included in page limit)*
- **Detailed Budget** for initial 12-month period – use the PHS 398 budget form *(not included in page limit)*
- **Individual Development Plan** – use the IDP found on the first page in the application portal *(not included in page limit)*

5) **Letters of Support** *(1-Page Limit/Each)*

Three letters of support are required, one each from:

1) your Primary Mentor (include 1 paragraph on the mentor’s research focus)
2) your Department/Division Chair affirming 40% protected research time
3) an individual familiar with your potential to develop an independent research career

Considerations for the Primary Mentor and Department/Division Chair letters:

- Describe the goals and how you will foster an environment conducive to a successful clinical and translational career path
- Describe additional resources/experiences you might bring to bear during the candidate’s appointment to enhance their training and research agenda

**The letters should be addressed to:**
Marc I. Chimowitz, MBChB
Carol Feghali-Bostwick, PhD
Medical University of South Carolina
125 Doughty Street, Suite 100
MSC 195
Charleston, SC 29425-0195

6) **MENTOR’S TRAINING TABLE(S)**

- Include all current and pending mentors
- Use the format found on the first page of the application portal
- Upload training table(s) for consultant(s) if applicable

7) **SIGNED SIGNATURE PAGE**

- Page 9 of RFA
- Signed by mentor, department chair, and applicant
SIGNATURE PAGE

In addition to the candidate’s letters of support, three additional signatures are required (the Primary Mentor, Department Chair, and Candidate) signifying an understanding and commitment to the SCTR COM K12 program if the candidate is awarded.

Primary Mentor
As the Primary Mentor, I have read the potential scholar’s K12 application package and provided constructive feedback, both for the career/training components and the research plan. I have read and agree to abide by the K12 Mentor Expectations on page 4 of this application. To the maximum extent possible I will meet regularly with the scholar. I understand that myself or another member of the mentorship team will be required to attend SCTR sponsored events, including the Annual Mentorship Training Symposium and the Joint K Orientation, as well as others stated in the Mentor Expectations.

__________________________________________
Signature of Primary Mentor

Date

Department Chair

This individual is qualified for this program and will receive immediate priority for clinical coverage (if applicable), all requirements for protected time, and all financial needs according to the RFA.

As Chair of ________________________________ (department name), I agree to grant this individual the required 40% protected time. I understand that this is a two-year award.

__________________________________________
Signature of Department Chair

Date

Applicant/Candidate

I certify that the statements herein are true and complete to the best of my knowledge and that I will comply with all applicable SCTR COM K12 terms and conditions governing my potential appointment. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties.

__________________________________________
Signature of Applicant/Candidate

Date